

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

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RICHARD CORBETT and BRIAN FISHER, on
behalf of themselves and all others similarly
situated,

Plaintiffs,

- against -

BANK OF AMERICA CORP., BANK OF NOVA SCOTIA,
NEW YORK AGENCY, BMO CAPITAL MARKETS CORP.,
BNP PARIBAS SECURITIES CORP., BARCLAYS
CAPITAL INC., CANTOR FITZGERALD & CO.,
CIBC WORLD MARKETS CORP., *et al.*,
Defendants.

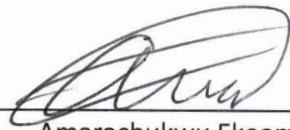
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STATE OF NEW YORK)
) ss.:
COUNTY OF QUEENS)

Amarachukwu Ekeoma, being duly sworn, deposes and says that Deponent is not a party to this action, and is over 18 years of age, and is a resident of the State of New York.

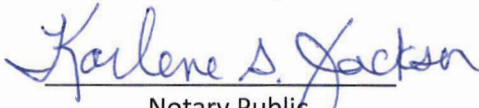
On October 20, 2015, at approximately 5:27 p.m., at 425 Lexington Avenue, New York, NY 10017, Deponent served the within Summons in a Civil Action, Class Action Complaint (Jury Trial Demanded), Individual Rules of Practice of Judge Paul G. Gardephe in Civil Cases, and Electronic Case Filing Rules & Instructions upon: **CIBC World Markets Corp.**, by delivering to and leaving with Elizabeth Aylett, Senior Counsel, a true and correct copy of said documents.

At the time of said service, Elizabeth Aylett stated that she is duly authorized to accept service of legal documents on behalf of CIBC World Markets Corp. and acknowledged service by endorsing and dating a copy of the aforementioned Class Action Complaint. Said acknowledgement is attached hereto.

Elizabeth Aylett is described as a White female, approximately 33-39 years of age, 110-125 lbs., 5'2"-5'4" tall, with dark brown hair.


Amarachukwu Ekeoma

Sworn to before me this
____ day of October, 2015


Notary Public

Karlene S. Jackson, Notary Public
State of New York, #01JA5083169
Qualified in Queens County
Commission Expires November 17, 2017

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behalf of themselves and all others similarly
situated,

Plaintiffs,

v.

BANK OF AMERICA CORP., BANK OF
NOVA SCOTIA, NEW YORK
AGENCY, BMO CAPITAL MARKETS
CORP., BNP PARIBAS SECURITIES
CORP., BARCLAYS CAPITAL INC.,
CANTOR FITZGERALD & CO., CIBC
WORLD MARKETS CORP., CITIGROUP
GLOBAL MARKETS INC., COMMERZ
MARKETS LLC, CREDIT SUISSE
SECURITIES (USA) LLC, DAIWA CAPITAL
MARKETS AMERICA INC., DEUTSCHE
BANK SECURITIES INC., GOLDMAN,
SACHS & CO., HSBC SECURITIES (USA)
INC., JEFFERIES LLC, J.P. MORGAN
SECURITIES LLC, MERRILL LYNCH,
PIERCE, FENNER & SMITH
INCORPORATED, MIZUHO SECURITIES
USA INC., MORGAN STANLEY & CO. LLC,
NOMURA SECURITIES INTERNATIONAL,
INC., RBC CAPITAL MARKETS, LLC, RBS
SECURITIES INC., SG AMERICAS
SECURITIES, LLC, TD SECURITIES (USA)
LLC, UBS SECURITIES LLC, and JOHN
DOES 1-50,

Defendants.

Case No.

CLASS ACTION COMPLAINT

JURY TRIAL DEMANDED



SERVICE ACKNOWLEDGED

DATE

Elizabeth Aylett 10/20/15
authorized signatory